

### 6.1 Cohen-Seidenberg Theorems

Let  $A \subseteq B$  be an integral ring extension. Goal: Relate  $\text{Spec}(A)$  and  $\text{Spec}(B)$ .

Trivial: If  $Q \in \text{Spec}(B)$ , then  $P := A \cap Q \in \text{Spec}(A)$

Then  $Q$  lies over  $P$ ,  $P$  is under  $Q$ .

Prop 6.7 Let  $A \subseteq B$  integral.

(1) Suppose  $A, B$  are domains. Then:  $A$  field  $\Leftrightarrow B$  field

(2) Let  $Q \in \text{Spec}(B)$ :  $Q \in \text{Max}(B) \Leftrightarrow P := Q \cap A \in \text{Max}(A)$

Proof: (1)  $\Rightarrow$  Let  $0 \neq b \in B$ . Let  $0 = b^n + a_{n-1}b^{n-1} + \dots + a_0$  ( $a_i \in A$ ) be an integral eqn. for  $b$  of minimal degree. Then  $a_0 \neq 0$  (otherwise, can cancel some  $b$ 's since  $B$  is a domain).

$$\Rightarrow a_0 \in A^\times \Rightarrow b \left( -\frac{1}{a_0} b^{n-1} - \frac{a_{n-1}}{a_0} b^{n-2} - \dots - \frac{a_1}{a_0} \right) = 1 \Rightarrow b \in B^\times.$$

$\Leftarrow$  Let  $0 \neq a \in A \Rightarrow a^{-1} \in B \Rightarrow a^{-1}$  is integral /  $A$

$$\Rightarrow a^{-n} + c_{n-1}a^{-n+1} + \dots + c_0 = 0 \quad (c_i \in A) \quad | \cdot a^{n-1}$$

$$\Rightarrow a^{-1} = -c_{n-1} - c_{n-2}a - \dots - c_0 a^{n-1} \in A \Rightarrow a \in A^\times.$$

(2)  $A/P \hookrightarrow B/Q$  is an integral extension (P6.5(1)) of domains. Apply (1).  $\square$

Thm 6.8 Let  $A \subseteq B$  be integral.

(1) (Lying Over) If  $P \in \text{Spec}(A)$ , there exists  $Q \in \text{Spec}(B)$  s.t.  $Q \cap A = P$

(2) (Incomparability) If  $Q, Q' \in \text{Spec}(B)$  lie over over  $P := Q \cap A = Q' \cap A$ , and  $Q \subseteq Q'$ , then  $Q = Q'$ .

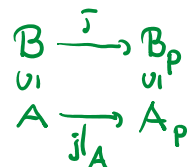
(3) (Going Up) Let  $P_1 \subseteq P_2 \subseteq \dots \subseteq P_n$  in  $\text{Spec}(A)$  ( $n \geq 1$ ). If

$Q_1 \subseteq \dots \subseteq Q_m$  ( $m < n$ ) in  $\text{Spec}(B)$  is s.t.  $Q_i \cap A = P_i$ , there exist

$Q_{m+1}, \dots, Q_n \in \text{Spec}(B)$  s.t.  $Q_1 \subseteq \dots \subseteq Q_n$  and  $Q_i \cap A = P_i$  for all  $1 \leq i \leq n$ .

Proof: (1) Let  $S = A \setminus P$ ,  $j: B \rightarrow B_P$  the localization.

$B_P := S^{-1}B$  is integral /  $A_P$  by P6.5(2). Let  $H \in \text{Max}(B_P)$



$B_p := S^{-1}B$  is integral /  $A_p$  by P6.5(2). Let  $M \in \text{Max}(B_p)$

$$\begin{array}{ccc} \mathfrak{U} & & \mathfrak{U} \\ A & \xrightarrow{j_A} & A_p \end{array}$$

$\Rightarrow M \cap A_p$  is maximal in  $A_p$  by P6.7(2)  $\xrightarrow{A_p \text{ local}} M \cap A_p = PA_p$

$\Rightarrow j^{-1}(M) \in \text{Spec}(B)$ ,  $j^{-1}(M) \cap A = j^{-1}(M) \cap j^{-1}(A_p) = j^{-1}(M \cap A_p) = j^{-1}(PA_p) = P$ .

(2) Localize:  $PA_p \in \text{Max}(A_p)$ ,  $QB_p, Q'B_p \in \text{Spec}(B)$

$\Rightarrow QB_p \cap A_p = Q'B_p \cap A_p = PA_p$

$B_p \text{ integral}/A_p \xrightarrow{P6.7(2)} QB_p, Q'B_p \in \text{Max}(B_p) \Rightarrow QB_p = Q'B_p \Rightarrow Q = j^{-1}(QB_p) = j^{-1}(Q'B_p) = Q'$

(3) By induction w.r.t.  $m=1, n=2$ .

$\bar{A} := A/p_1, \bar{B} := B/Q_1 \Rightarrow \bar{B}$  integral over  $\bar{A}$  (P6.7(2))

$\bar{P}_2 := P_2/p_1 \in \text{Spec}(\bar{A})$ . (1)  $\Rightarrow \exists \bar{Q}_2 \in \text{Spec}(\bar{B}) : \bar{Q}_2 \cap \bar{A} = \bar{P}_2$

Lift  $\bar{Q}_2$  to  $Q_2$  under  $B \rightarrow \bar{B}$ . □

Cor 6.9 Let  $A \subseteq B$  be integral

(1)  $\dim(A) = \dim(B)$

(2)  $A^x = B^x \cap A$ .

Proof: (1) If  $P_0 \subseteq P_1 \subseteq \dots \subseteq P_n$  is a chain in  $\text{Spec}(A)$ , GU yields a chain  $Q_0 \subseteq Q_1 \subseteq \dots \subseteq Q_n$  in  $\text{Spec}(B)$  with  $Q_i \cap A = P_i$ . In particular,  $Q_i \subseteq Q_{i+1}$ , so  $\dim(B) \geq \dim(A)$ .

Conversely, if  $Q_0 \subseteq Q_1 \subseteq \dots \subseteq Q_n$  is a chain in  $\text{Spec}(B)$ , let  $P_i := Q_i \cap A$ .

Then  $P_0 \subseteq \dots \subseteq P_n$  is a chain in  $\text{Spec}(A)$ . By INC  $P_i \subseteq P_{i+1}$ .

So  $\dim(A) \geq \dim(B)$ .

(2) " $\supseteq$ "  $\checkmark$  " $\subseteq$ ": Let  $a \in A \cap B^x$ . Suppose  $a \notin A^x \Rightarrow \exists M \in \text{Max}(A) : a \notin M$   
 $\xrightarrow{LO} \exists Q \in \text{Max}(B) : a \in M \subseteq Q$  □

Def:  $A \subseteq B$  ring ext.,  $I \subseteq A$ .

$b \in B$  is integral over  $I$  if  $b^n + \alpha_{n-1}b^{n-1} + \dots + \alpha_0 = 0$  with  $\alpha_0, \dots, \alpha_{n-1} \in I$ .

The integral closure of  $I$  in  $B$ ,  $\mathcal{I}_B(I)$ , is the set of all such elements.

The integral closure of  $I$  in  $B$ ,  $cl_B(I)$ , is the set of all such elements.

Prop 6.10 Let  $A \subseteq B$  be rings,  $I \subseteq A$ .

$$\text{Then } cl_B(I) = \sqrt{I \cdot cl_B(A)}$$

Proof: " $\subseteq$ ": Let  $b \in B$ ,  $b^n + a_{n-1}b^{n-1} + \dots + a_0 = 0$  with  $a_i \in I$   
 $\Rightarrow b^n \in I \cdot cl_B(A) \Rightarrow b \in \sqrt{I \cdot cl_B(A)}$ .

" $\supseteq$ ": Let  $b \in \sqrt{I \cdot cl_B(A)} \Rightarrow b^n = x_1 b_1 + \dots + x_m b_m$  with  $x_i \in I$ ,  $b_i \in cl_B(A)$   
 $b_i$  integral  $\xrightarrow{C6.2} A' := A[b_1, \dots, b_m]$  is a f.g.  $A$ -module.

Then  $b^n A' \subseteq I A'$ , and the determinant trick (cf. P6.1) produces  $a_0, \dots, a_{k-1} \in I$  s.t.  $b^k + a_{k-1}b^{k-1} + \dots + a_0 = 0$ . (Details skipped). □